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## TRANSMITTAL LETTER TO THE UNITED STATES **DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371**

ATTORNEY'S DOCKET NUMBER 00824-04

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

INTERNATIONAL APPLICATION NO.	INTERNATIONAL FILING DATE	PRIORITY DATE CLAIMED		
PCT/US2004/031940	29 September 2004 (29.09.2004)	29 September 2003 (29.09.2003)		
TITLE OF INVENTION Discovery of Novel Soluble Crystalline Anesthetics				
APPLICANT(S) FOR DO/EO/US UNIVERSITY OF VIRGINIA PATENT FOUNDATION; BROWN, Milton L. and SCHENCK, Hilary A.				
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:				
1. This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.				
2. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.				
3. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.				
. X The US has been elected (Article 31).				
5. X A copy of the International Application as filed (35 U.S.C. 371(c)(2))				
a. is attached hereto (required only if not communicated by the International Bureau).				
b. has been communicated by the International Bureau.				
c. X is not required, as the application was filed in the United States Receiving Office (RO/US).				
6. An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).				
a. is attached hereto.				
b. has been previously submitted under 35 U.S.C. 154(d)(4).				
7. X Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))				
a. are attached hereto (required only if not communicated by the International Bureau).				
b. have been communicated by the International Bureau.				
c. have not been made; ho	c. have not been made; however, the time limit for making such amendments has NOT expired.			
d. 🔼 have not been made and	d. X have not been made and will not be made.			
	An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).			
9. X An oath or declaration of the invento	An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).			
10. An English language translation of the Article 36 (35 U.S.C. 371(c)(5)).	An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).			
Items 11 to 20 below concern document(s) or information included:				
11. An Information Disclosure Statemen	An Information Disclosure Statement under 37 CFR 1.97 and 1.98.			
	An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.			
13. X A preliminary amendment.	A preliminary amendment.			
14. An Application Data Sheet under 37	An Application Data Sheet under 37 CFR 1.76.			
15. A substitute specification.	A substitute specification.			
16. A power of attorney and/or change	A power of attorney and/or change of address letter.			
17. A computer-readable form of the se	A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.			
18. A second copy of the published Inte	A second copy of the published International Application under 35 U.S.C. 154(d)(4).			
9. U. A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).				

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. Page 1 of 3

PTO-1390 (Rev. 07-2005) Approved for use through 3/31/2007. OMB 0651-0021

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ATTORNEY'S DOCKET NUMBER U.S. ARPLICATION: NOn (if known see 37 CFR 1.5) INTERNATIONAL APPLICATION NO. 00824-04 PCT/US2004/031940 20. Other items or information: Post Card Receipt CALCULATIONS PTO USE ONLY The following fees have been submitted 21. X Basic national fee (37 CFR 1.492(a))......\$300 300.00 Examination fee (37 CFR 1.492(c)) 22., 🗓 If the written opinion prepared by ISA/US or the international preliminary examination report prepared 200.00 All other situations \$200 Search fee (37 CFR 1.492(b)) If the written opinion of the ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)......\$0 Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an 100.00 International Searching Authority.....\$100 International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB......\$400 All other situations.....\$500 600.00 TOTAL OF 21, 22 and 23 = Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. **Total Sheets RATE** Extra Sheets Number of each additional 50 or fraction thereof (round up to a whole number) 0.00 -68 32 - 100 = /50 = x \$250 Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration 0.00 after the date of commencement of the national stage (37 CFR 1.492(h)). NUMBER FILED **CLAIMS** NUMBER EXTRA RATE \$ Total claims 34 - 20 = \$ 50 \$ 700.00 14 \$200 \$ Independent claims 2 - 3 = 0 0.00 MULTIPLE DEPENDENT CLAIM(S) (if applicable) \$360 \$ 360.00 **TOTAL OF ABOVE CALCULATIONS =** \$ 1,660.00 Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2. 830.00 SUBTOTAL = 830.00 Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest \$ 0.00 claimed priority date (37 CFR 1.492(i)). **TOTAL NATIONAL FEE =** \$ 830.00 Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied \$ 0.00 by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property TOTAL FEES ENCLOSED = 830.00 Amount to be \$ refunded: Amount to be \$ charged

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d. 🗀	Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.		
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.			
••			
Rodne Unive 250 V	ey L. Sparks ersity of Virginia Patent Foundation West Main Street, Suite 300 ottesville, VA 22902	Rodrey L. Sparks  Rodney L. Sparks  NAME  53,625  REGISTRATION NUMBER	
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I hereby certify that this correspondence is being deposited with the United States Postal Service using "Express Mail Post Office to Addressee" Service under 37 C.F.R. § 1.10 on the date indicated below and is addressed to Mail Stop PCT, U.S. Receiving Office, Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450.			
Date: March 29, 2006 Me Ann Carr			